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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

Declaration Submitted with Initial Filing Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	P150299
First Named Inventor	SMITH, Graham Thomas
COMPLETE IF KNOWN	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TELECONFERENCING ROBOT WITH SWIVELING VIDEO MONITOR

the specification of which

(Title of the Invention)

is attached hereto

OR

was filed on (MM/DD/YYYY) **05/06/1998**

as United States Application Number or PCT International

Application Number **PCT/CA98/00463** and was amended on (MM/DD/YYYY) **05/14/1999** (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
			<input type="checkbox"/>	<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/045,793	05/07/1997	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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PTO/SB/01 (12-97)

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02A attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact business with the Patent and Trademark Office connected therewith: Customer Number **022839** → **022839** Place Customer
Name
Bar Code Label here
 Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	PATENT TRADEMARK OFFICE	Registration Number
Paul L. Herbert	27,278	Gary M. Travis	43,551	
L. Brant Latham	30,013	Jeff Pervanas	41,543	
P. Daniel Hitchcock	32,109			

Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: Customer Number **022839** OR Correspondence address below

Name	Riches, McKenzie & Herbert				
Address	2 Bloor Street East				
Address	Suite 2900				
City	Toronto	State	ON	ZIP	M4W 3J5
Country	Canada	Telephone	(416) 961-5000	Fax	(416) 961-5081

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: A petition has been filed for this unsigned inventor

Given Name (first and middle if any) **GRAHAM THOMAS** Family Name or Surname **SMITH**

Inventor's Signature						Date
Residence: City	TORONTO	State	ON	Country	CANADA	Citizenship CA
Post Office Address	61 EUCLID AVENUE					
Post Office Address	APARTMENT 2					
City	TORONTO	State	ON	ZIP	M6J 2J8	Country CANADA

Additional inventors are being named on the **1** supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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PTO/SB/02A (3-97)

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>					
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
DEBORAH INGRID		FELS					
Inventor's Signature					Date		
Residence: City	TORONTO	State	ON	Country	CANADA	Citizenship	
Post Office Address	277 ARLINGTON AVENUE						
Post Office Address							
City	TORONTO	State	ON	ZIP	M6C 2Z6	Country	CANADA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
JUTTA		TREVIRANUS					
Inventor's Signature					Date		
Residence: City	TORONTO	State	ON	Country	CANADA	Citizenship	CA
Post Office Address	323 WOODBINE AVENUE						
Post Office Address							
City	TORONTO	State	ON	ZIP	M4L 3P3	Country	CANADA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Inventor's Signature					Date		
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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PCT/CA98/00432

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN**

Docket Number (Optional)
P150299Applicant, Patentee, or Identifier: **SMITH, Graham Thomas**Application or Patent No.: **35 USC 371 of PCT Application No. PCT/CA98/00463**Filed or Issued: **05/06/98 (6 May 1998)**Title: **Teleconferencing Robot With Swiveling Video Monitor**

I hereby state that I am

- the owner of the small business concern identified below.
 an official of the small business concern empowered to act on behalf of the concern identified below.

Telbotics Inc.

NAME OF SMALL BUSINESS CONCERN _____

ADDRESS OF SMALL BUSINESS CONCERN **46 Sherbourne Street****Toronto, Ontario, CANADA M5A 2P7**

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- the specification filed herewith with title as listed above.
 the application identified above.
 the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- no such person, concern, or organization exists.
 each such person, concern, or organization is listed below.

RYERSON POLYTECHNIC UNIVERSITY, THE UNIVERSITY OF TORONTO

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING **Graham Thomas SMITH**TITLE OF PERSON IF OTHER THAN OWNER **President**ADDRESS OF PERSON SIGNING **61 Euclid Ave., Apt. 2, Toronto, ON, CA M6J 2J8**

SIGNATURE _____ DATE _____

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PTO/SB/11 (12-97)

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))—NONPROFIT ORGANIZATION**

Docket Number (Optional)
F15029S

Applicant, Patentee, or Identifier: **SMITH, Graham Thomas, FELS, Deborah Ingrid & TREVIRANUS, Jutta**
Application or Patent No.: **A filing under 35 USC 371 from PCT Int'l Appl'n PCT/CA98/00463**

Filed or Issued: **05/06/98 (6 May 1998)**

Title: **"Teleconferencing Robot With Swiveling Video Monitor"**

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION **RYERSON POLYTECHNIC UNIVERSITY**

ADDRESS OF NONPROFIT ORGANIZATION **350 Victoria Street
Toronto, Ontario, Canada, M5B 2K3**

TYPE OF NONPROFIT ORGANIZATION:

- UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
- NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
- WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
IF LOCATED IN THE UNITED STATES OF AMERICA
- WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- the specification filed herewith with title as listed above.
 the application identified above.
 the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- no such person, concern, or organization exists.
 each such person, concern, or organization is listed below.

TELBOTICS INC., THE UNIVERSITY OF TORONTO

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING **Michael Owen**

TITLE IN ORGANIZATION OF PERSON SIGNING **Director, Research Services**

ADDRESS OF PERSON SIGNING **c/o Ryerson Polytechnic University**

SIGNATURE **X Michael Owen** DATE **November 2, 1999**

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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(d))—NONPROFIT ORGANIZATION**

Docket Number (Optional)
P150299

Applicant, Patentee, or Identifier: **SMITH, Graham Thomas, FALS, Deborah Ingrid & TREVIRANUS, Jutta**
Application or Patent No.: **A filing under 35 USC 371 from PCT Int'l Appl'n PCT/CN98/00463**
Filed or Issued: **05/06/98 (6 May 1998)**
Title: **"Teleconferencing Robot With Swiveling Video Monitor"**

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION **THE UNIVERSITY OF TORONTO**

ADDRESS OF NONPROFIT ORGANIZATION **27 King's College Circle
Toronto, Ontario, Canada, M5S 1A1**

TYPE OF NONPROFIT ORGANIZATION:

- UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
- NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
- WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
IF LOCATED IN THE UNITED STATES OF AMERICA
- WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

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 the application identified above.
 the patent identified above.

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TELBOTICS INC., RYERSON POLYTECHNIC UNIVERSITY

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Peter Munsche

NAME OF PERSON SIGNING

TITLE IN ORGANIZATION OF PERSON SIGNING **Ass't Vice-President, Technology Transfer**

ADDRESS OF PERSON SIGNING **c/o The University of Toronto**

SIGNATURE _____ DATE _____

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